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 WASHINGTON, DC 20503

APPLICATION NUMBER	FILING DATE	EXAMINER	DATE RECEIVED
09/775,925	02/01/2001	Ralf M. Luche	200125420

00500
 SEED INTELLECTUAL PROPERTY LAW GROUP PLLC
 701 FIFTH AVE
 SUITE 6300
 SEATTLE, WA 98104-7092

CONFIRMATION NO. 3867

FORMALITIES LETTER



OC000000005341976

Date Mailed: 03/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1885.
 - \$990 for 110 total claims over 20.
 - \$760 for 19 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2305.

A copy of this notice MUST be returned with the reply.

PATENT COOPERATION PUBLICATION NO. 01/005341

EXPRESS MAIL NO. EV201744321US

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → +

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**(To be used for all correspondence
after initial filing)

Application Number	09/775.925
Filing Date	February 1, 2001
First Named Inventor	Ralf M. Luche
Group Art Unit	1652
Examiner Name	Tekchand Saidha
Attorney Docket No.	200125 420

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> CD(s), Number of CD(s) _____
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Amendment/Response	<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement, Form PTO-1449	<input type="checkbox"/> Declaration	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Cited References	<input type="checkbox"/> Statement under 37 CFR 3.73(b)	_____
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	_____
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Small Entity Statement	_____
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund	_____

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name Mae Joanne Rosok



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U.S. PATENT AND TRADEMARK OFFICE

Signature

Date

April 21, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents Washington, D.C. 20231 on the date specified below

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision

☒ Applicant claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**205**

Complete if Known

Application Number **09/775,925**
Filing Date **February 1, 2001**
First Named Inventor **Ralf M. Luche**
Examiner Name **Tekchand Saidha**
Group Art Unit **1652**
Attorney Docket No **200125.420**

METHOD OF PAYMENT

☒ Payment Enclosed
☒ Check ☐ Credit card ☐ Money Order ☐ Other
☐ Deposit Account
Deposit Account Number **19-1090**
Deposit Account Name **Seed Intellectual Property Law Group PLLC**
The Commissioner is authorized to (check all that apply)
☐ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any deficiencies to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	100	2053	50	Non-English specification	
1812	2500	1812	2500	For filing a request for ex parte reexamination	
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	
1805	1840	1805	1840	Requesting publication of SIR after Examiner action	
1251	110	2151	55	Extension for reply within first month	
1252	410	2152	205	Extension for reply within second month	205
1253	900	2153	450	Extension for reply within third month	
1254	1450	2154	725	Extension for reply within fourth month	
1255	1900	2155	950	Extension for reply within fifth month	
1401	310	2401	155	Notice of Appeal	
1402	310	2402	155	Filing a brief in support of an appeal	
1403	260	2403	130	Request for oral hearing	
1451	1510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1300	2453	650	Petition to revive - unintentional	
1501	1300	2501	650	Utility issue fee (or reissue)	
1502	410	2502	205	Design issue fee	
1503	600	2503	300	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee for provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
1611	4	1611	4	Recording each patent assignment per property times number of properties	
1612	5	1612	5	Filing a submission after final rejection 37 CFR § 1.129 a	
1613	10	1613	10	For each additional invention to be examined 37 CFR § 1.129 b	
1614	5	1614	5	Request for continued examination - RCE	
1615	510	1615	255	Request for expedited examination - fast-track examination	
Other fee (specify):					
* Reduced by Basic Filing Fee Paid					

TECH CENTER 1600/2900

Mae Joanne Rosor

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Signature

Date April 21, 2003